

US006996676**B**2

(12) United States Patent

Megiddo et al.

(10) Patent No.: US 6,996,676 B2

(45) **Date of Patent:** Feb. 7, 2006

(54) SYSTEM AND METHOD FOR IMPLEMENTING AN ADAPTIVE REPLACEMENT CACHE POLICY

(75) Inventors: Nimrod Megiddo, Palo Alto, CA (US);

Dharmendra Shantilal Modha, San

Jose, CA (US)

(73) Assignee: International Business Machines

Corporation, Armonk, NY (US)

(*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35

U.S.C. 154(b) by 465 days.

- (21) Appl. No.: 10/295,507
- (22) Filed: Nov. 14, 2002
- (65) Prior Publication Data

US 2004/0098541 A1 May 20, 2004

- (51) **Int. Cl. G06F 12/00** (2006.01)
- (52) **U.S. Cl.** 711/129; 711/133; 711/170

See application file for complete search history.

(56) References Cited

4.462.424.4. * 7.4004.3.5.4

U.S. PATENT DOCUMENTS

4,463,424 A	75	7/1984	Mattson et al/	11/136
4,464,712 A		8/1984	Fletcher 3	64/200
4,503,501 A	*	3/1985	Coulson et al 7	11/129
4,780,815 A	*	10/1988	Shiota 7	'11/171
5,481,691 A		1/1996	Day, III et al 3	95/425
5,752,255 A	*	5/1998	Jarvis	711/3
6,041,390 A		3/2000	Liu et al 7	/11/110
6,154,813 A		11/2000	Martin et al 7	11/133

6,209,062	B1	3/2001	Boland et al	711/134
6,327,643	B 1	12/2001	Egan	711/134
6 408 368	B1	6/2002	Parady	711/159

OTHER PUBLICATIONS

"Least-Recently-Used-Page-Replacement Algorithm For Cache Memories," IBM Technical Disclosure Bulletin, vol. 25 No. 3A, Aug. 1982.

S. Kim et al., "Area Efficient Architectures for Information Integrity in Cache Memories," IEEE-CS\TCCA:TC on Computer Architecture, 1999.

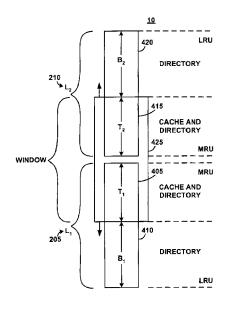
(Continued)

Primary Examiner—Jack Lane (74) Attorney, Agent, or Firm—Samuel A. Kassatly

(57) ABSTRACT

An adaptive replacement cache policy dynamically maintains two lists of pages, a recency list and a frequency list, in addition to a cache directory. The policy keeps these two lists to roughly the same size, the cache size c. Together, the two lists remember twice the number of pages that would fit in the cache. At any time, the policy selects a variable number of the most recent pages to exclude from the two lists. The policy adaptively decides in response to an evolving workload how many top pages from each list to maintain in the cache at any given time. It achieves such online, on-the-fly adaptation by using a learning rule that allows the policy to track a workload quickly and effectively. This allows the policy to balance between recency and frequency in an online and self-tuning fashion, in response to evolving and possibly changing access patterns. The policy is also scan-resistant. It allows one-time-only sequential read requests to pass through the cache without flushing pages that have temporal locality. The policy is extremely simple to implement and requires only constant-time overhead per request. The policy has negligible space overhead.

42 Claims, 11 Drawing Sheets



744 40